

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSUE FEE  
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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

22835 7590 01/13/2005

c/o A. RICHARD PARK, REG. NO. 41241  
PARK, VAUGHAN & FLEMING LLP  
2820 FIFTH STREET  
DAVIS, CA 95616

04/12/2005 HVUONG2 00000101 09895902

01 FC:1504 300.00 OP  
02 FC:1501 1400.00 OP  
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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

WENDY SCOTT (Depositor's name)  
Wendy Scott (Signature)  
4/7/05 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/895,902	06/28/2001	G. William Walster	SUN-P4717-SPL	9482

TITLE OF INVENTION: METHOD AND APPARATUS FOR AUTOMATICALLY PRODUCING EFFICIENT CODE FOR COMPUTING DERIVATIVES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	04/13/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHAVIS, JOHN Q	2124	717-106000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Park, Vaughan & Fleming LLP  
2  
3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Sun Microsystems, Inc. Santa Clara, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual, ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 2

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

A. Richard Park

Date

4/7/05

Typed or printed name

A. RICHARD PARK

Registration No.

41,241

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Wendy Scott  
(Typed or Printed Name of Person Mailing Paper or Fee)

Wendy Scott  
(Signature of Person Mailing Paper or Fee)

**PATENT APPLICATION**  
**Attorney Docket No. SUN-P4717**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE PATENT APPLICATION OF )  
G. William Walster. ) Examiner: Chavis, John Q.  
Serial No. 09/895,902 ) Group Art Unit: 2124  
Filing Date: June 28, 2001 )  
Title: METHOD AND APPARATUS FOR )  
AUTOMATICALLY PRODUCING )  
EFFICIENT CODE FOR COMPUTING )  
DERIVATIVES )

**TRANSMITTAL FOR ISSUE FEE AND FORMAL DRAWINGS**

Assistant Commissioner for Patents  
M/S Box Issue Fee  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please find the enclosed items related to the above-referenced patent application:

- ☒ Issue Fee Transmittal
- ☒ Fee Address Indication Form PTO/SB/47
- ☒ Check for \$1,706.00. This check includes \$6.00 to cover an advance order of 2 copies of the patent in addition to the \$1,400.00 issue fee and the \$300.00 publication fee.
- ☐    sheets of formal drawings in substitution for the drawings presently on file.
- ☒ Authorization to Charge for underpayment or credit for overpayment Deposit  
Account No. 50-1003

Please direct all correspondence concerning the above-identified application to the following address:

A. Richard Park  
Park, Vaughan & Fleming LLP  
2820 Fifth Street  
Davis, CA 95616  
(530) 759-1661

Respectfully submitted,

By



A. Richard Park  
Registration No. 41,241

Date: April 7, 2005